

0/223,273

Patent Attorney Docket:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Robert B. Hammond et al.

Serial No.: 40/923,273\_10,823,273

Filed: April 12, 2004

For: TUNABLE SUPERCONDUCTING RESONATOR AND METHODS OF

TUNING THEREOF

Group Art Unit: 2859

Examiner: L. Arana

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above–identified application is hereby disclosed. The accompanying Form PTO/SB/08A provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form PTO/SB/08A, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature of Person Mailing Paper



Patent Attorney Docket:

In accordance with §1.97(b), this Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits of the above—identified application, and therefore no fee is required. If a first Office Action has been mailed, then please enter this Information Disclosure Statement and charge Deposit Account No. 50-2862 for any necessary fees.

In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO/SB/08A are not enclosed herewith because they were previously cited by or submitted to the Patent and Trademark Office in prior copending or related applications for which a claim for the Patent and Trademark Office in prior copending or related application. Accordingly, Applicants priority under 35 U.S.C. §120 has been made in the instant application. Accordingly, Applicants will provide duplicate copies in respect of the present case only if the Examiner so desires.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there against as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 50-2862.

O'MELVENY & MYERS LLP

Dated: \_\_\_\_\_SIUIOY

David B. Murphy Reg. No. 31,125

DBM/dnd

Ŋ

34263

O'Melveny & Myers LLP 114 Pacifica, Suite 100 Irvine, CA 92618-3315 (949) 737-2900

PATENT TRADEMARK OFFICE

Rease type a plus sign (+) inside this box +

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

stitute for form 1449A/PTO				Complete if Known		
				Application Number	Not Yet Assigned 10 123 27	
INFORMATION DISCLOSURE			.OSURE	Filing Date	April 12, 2004	
	STATEMENT BY APPLICANT			First Named Inventor	Robert B. Hammond	
				Group Art Unit	Not Yet Assigned	
(use as many sheets as necessary)				Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	844,004-308	

	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication of Cited Document	
Examiner Initials *	Number	Kind Code <sup>2</sup> (if known)	of Cited Document	MM-DD-YYYY	
	US-6,169,399	B1	Zhang et al	01/02/2001	
	US-6,335,622	B1	James et al	01/01/2002	
	US-6,538,445	B2	James et al	03/25/2003	

Examiner Initials*	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited		
	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Document MM-DD-YYYY	T <sub>6</sub>	

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		-

Examiner	Date	
Signature	Considered	i
(5.5		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.